



Canada Climate Law Initiative L'Initiative canadienne de droit climatique

POST-PANDEMIC OPPORTUNITIES FOR A SUSTAINABLE SOCIETY

FACILITATORS AND PANELISTS

8:00 am Session

POST-PANDEMIC OPPORTUNITIES TO FINANCE A SUSTAINABLE SOCIETY

Dr. Janis Sarra, Professor, Peter A. Allard School of Law, University of British Columbia, Vancouver, BC



Dr. Sarra's research and teaching interests are in the areas of corporate finance, banking law, corporate governance, securities law, contracts, commercial insolvency law, and law and economics. Dr. Janis Sarra was appointed UBC Presidential Distinguished Professor in 2014 for a five-year term. Dr. Sarra is Professor of Law, at the Peter A. Allard School of Law, was founding Director of the National Centre for Business Law and former director of the Wall Institute for Advanced Studies. She has published twelve books and more than one hundred refereed articles, and has been cited extensively by Canadian courts at the superior court and appellate levels. She is author of numerous reports on climate change, including: *Fiduciary Obligations in Business and Investment: Implications of Climate Change*, 2018 (CCLI, Oxford); *Time to Act*, Response to questions posed by the Expert Panel on Sustainable Finance on Fiduciary Obligation and Effective Climate-related Financial Disclosures, co-author with C. Williams, 2019; and *The Anthropocene in the Time of Trump, Financial Markets, Climate Change Risk and Vulnerability*, 2018. She is a member of the Canadian Delegation to the UN Commission on International Trade Law WG V.

Susan McGeachie, Global Director, Climate Change and Sustainability Services, HATCH, Toronto



Susan leads Hatch's global climate change and sustainable development practice with 20 years of experience in this field. She supports clients in addressing the impact of a changing business environment, including climate change-related factors, on projects. Susan develops strategies to help project developers manage shifts in laws and regulations, weather patterns and social impact considerations. She specializes in environmental, social and governance (ESG)-focused program reviews and evaluations, climate change-related risk and investment cost-benefit assessments, stakeholder consultations, and sustainability reporting strategy and guidance.

Susan is an adjunct professor with the University of Toronto where she co-teaches a graduate course in environmental finance and sustainable investing, and is a fellow of U of T's Centre for Environment. She is a former director of the Social Investment Organization, for which she co-chaired the Mainstream committee and chaired the Governance committee. She holds an MBA from the Schulich School of Business in Toronto in international business and finance.

Simon Senécal, Portfolio Manager, Responsible Investment, AlphaFixe Capital Inc., Montréal



As Portfolio Manager, Simon works on developing the Green Bond Fund that allows AlphaFixe to expand its influence in responsible investment. Simon oversees the ESG analysis and develops the necessary management tools to measure the portfolios' carbon footprint and assess climate risks. Simon is also involved in the firm's investment strategy as well as customer service.

Prior to joining AlphaFixe Capital, Simon worked as an Investment and Treasury Manager at Commission de la construction du Québec (CCQ), where he oversaw various external mandates. In addition, he was a member of the Sustainable Development Committee. Simon also worked at the Treasury of Desjardins Group as Credit Analyst.

Simon holds a Master's degree in Finance from Sherbrooke University and a Bachelor's degree in economics from UQAM. He also holds a Sustainable Investment Professional Certification from Concordia University.

Céline Bak, President, Analytica Advisors, Ottawa



Céline Bak is a global leader on the business impacts of climate change. She has been honoured by France as a Chevalier of the National Order of Merit for her work to mobilize the private sector in the run-up to the Paris Agreement.

Céline is the Founder and President of Analytica Advisors, a consulting firm engaged in building global capital markets for sustainability leaders among both long-term investors and companies. It focuses on financial and technical innovation for its clients the world over. She is frequently called upon by major media companies, including CNN Money, Agence France Press, Reuters and the New York Times, as well as Canada's media outlets, from radio and television to print journalism.

Céline is an ambassador for women under the global [Equal by 30 Initiative](#). Her public service includes sitting as an independent director at [Green Centre Canada](#) and [Emissions Reduction Alberta](#), and chairing the Core Evaluation Team of [Genome Canada](#)'s Genomic Applications Partnership Program. Her leadership roles have included Chair of the Clean Technology Private Sector Advisory Group and senior advisor for Global Affairs Canada's Trade Commissioner Service. She is a senior associate at the International Institute for Sustainable Development (IISD) where she leads research on sustainable finance. As a past [senior fellow](#) at the Centre for International Governance Innovation she conducted research on innovation, infrastructure and served as co-chair of the Think Tank 20 (T20) [Climate Policy and Finance Task Force](#).

As the President of Analytica Advisors, in 2007 she recognized that innovation-based industries were poorly represented through existing primary economic research. In order to change this, she authored and published seven annual evidence-based national reports that have catalyzed the growth of Canada's emerging energy and water technology industry. Her research changed the investment and policy landscape, and has formed the basis of hundreds of millions of dollars in catalytic public investment.

8:40 am Session PATHWAYS TO DECARBONIZATION

Alison Schneider, Director of Responsible Investment, Alberta Investment Management Corporation (AIMCo), Edmonton



Alison, Director of Responsible Investing, joined AIMCo in 2011. AIMCo is consistently recognized as a top performer by UNPRI, as a Top 25 global asset allocator by New Americas (RAAI) and is a 2019 International Corporate Governance Network (ICGN) award finalist. Alison is a co-founder of GRESB Infrastructure, chairs its advisory board and co-chairs the Shareholder Responsibility committee at ICGN. She sits on committees for PRI, CCGG, PIAC, RealPAC, SASB, and the G7 Investor Leadership Network. Alison is a recipient of the Clean50 Award (2019), published author (*The New Frontiers of Sovereign Investment*, Columbia University Press, 2017) and co-author of an investor guidance on TCFD Implementation (2019); ICGN Guidance on Fiduciary Duty (2018) and Guidance on Diversity (2016). Alison regularly presents at business forums (ex. PRI, ICGN, Globe, IREI, FEI, CPA Canada) and for the Institute of Corporate Directors (ICD). Alison founded the Net Impact Edmonton professional chapter (2011), is past-president of the Junior League of Edmonton (2005) and taught board governance to NFP's (Alberta Culture & Community Spirit BDP Program (2007-2010.)

Dr. Fenner Stewart, University of Calgary Law School, Calgary



Dr. Fenner Stewart is an associate professor at the University of Calgary. He is an award-winning teacher and scholar. Dr. Stewart is the 2020 Dentons Canada LLP Research Fellow in Energy Law and Policy and also a 2020 Research Fellow in Energy and Environment at the School of Public Policy. Dr. Stewart has a Ph.D. in law from Osgoode Hall Law School (Toronto), where he was an adjunct professor for four years. He was also a Visiting Fellow at Columbia Law School. Dr. Stewart's research focus is on legal and political philosophy, the confluence of public and private law, and natural resource governance. He studies the challenges facing natural resource governance, including: decarbonization, federalism, obligations to indigenous populations, risk management, innovation and regulation, and public trust. His work addresses the public dimensions of natural resource regulation (such as energy federalism), the private dimensions (such as corporate responsibility), and the public-private dimensions (such as the roles of business, government, and civil society within risk governance networks). Topics from his recent publications and current research include: carbon pricing in Canada; jurisdictional disputes over the regulation of natural resource issues; environmental risk management and energy innovation; and risk governance networks and extractive industries. Dr. Stewart has taught Business Associations, the Dentons' International Energy Law Study Tour, and Oil and Gas Law in the JD program, as well as an LLM seminar in advanced jurisprudence and legal philosophy.

Brian Minns, Vice-President Sustainable Investing, Addenda Capital, Toronto



Brian is responsible for the continuous improvement of Addenda Capital's incorporation of environmental, social and governance (ESG) issues into investment analysis and decision-making processes throughout the firm. He also engages with companies, regulators and policymakers on ESG matters in order to promote sustainable development.

Member of:

- Investment Committee
- Sustainable Investing Committee

Career:

- Addenda Capital: Vice-President, Sustainable Investing (since 2018); Manager, Sustainable Investing (2014-2018); Specialist, Sustainable Investing (2011-2014)
- Canada Pension Plan Investment Board: Analyst, Responsible Investing (2010-2011)
- Mercer (Toronto): Associate, Responsible Investment (2006-2010)
- Innovest Strategic Value Advisors (now part of MSCI ESG Research): Intern – Research Analyst (2005-2006)

Education:

- Master in Environmental Studies and a Graduate Diploma in Business and the Environment from York University
- Bachelor of Science in Mechanical Engineering from Queen's University
- Chartered Financial Analyst (CFA®) designation
- Certified Sustainable Investment Professional (SIPC) from Concordia University John Molson School of Business

Bruno Caron, Partner, Miller Thomson LLP/SENCRL, Montréal



Bruno's practice is primarily focused on corporate law, securities, financing, mergers and acquisitions of public and private companies, and corporate governance. He is frequently retained as counsel on matters involving business restructuring, statutory arrangements, continuous disclosure requirements and the rules governing shareholders' meetings. He has also acted as corporate secretary on the board of several public corporations. Bruno has a particular interest with respect to green financing as a mean to mitigate and adapt to the climate change and also the disclosure rules applicable to the risks associated with climate change. Since its inaugural issuance in 2017, Bruno has acted for the Province of Québec in all its five green bond issuances. Bruno practised law in New York and in Romania and has a broad international experience. He has represented many clients in matters involving the issuance of debt and equity, initial public offerings, cross-border offerings, as well as private placements under Regulation D, Rule 144A and Regulation S of the U.S. Securities Act of 1933. Bruno assisted several brokerage firms in matters involving registration with securities regulators. He also advises on matters involving derivatives regulations and derivatives data reporting obligations. Since 2000, Bruno has been registered as Foreign Legal Consultant in the State of New York. He holds a Bachelor's degree from McGill University in mathematics and computer science.

Dr. Carol Liao, University of British Columbia Law and Director, Centre for Business Law

Dr. Carol Liao is an Assistant Professor at the Peter A. Allard School of Law and Director of the Centre for Business Law. She is also the UBC Sauder Distinguished Scholar of the Peter P. Dhillon Centre for Business Ethics, UBC Sauder School of Business. An award-winning teacher and widely published scholar, Dr. Liao is the co-author of *Business Organizations: Practice, Theory, and Emerging Challenges*, and is a contributing author to a number of groundbreaking new books in corporate sustainability: *The Cambridge Handbook for Corporate Law, Corporate Governance, and Sustainability*; *The Cambridge Handbook for Social Enterprise Law*; and *Creating Corporate Sustainability: Gender as an Agent for Change*. Dr. Liao is a past Bertram Scholar of the Institute of Corporate Directors and a former senior associate in the New York Mergers and Acquisitions Group of Shearman & Sterling LLP, a global law firm. She is a steering committee member of the Themis International Network of Female Business Scholars, and is currently co-editing a collection on sustainable business models with Dr. Beate Sjøfjell of the University of Oslo and Dr. Aikaterini Argyrou of Utrecht University. She has authored over 20 articles and book chapters and given over 50 talks around the world on business law and corporate sustainability. Her work has been cited in multiple media sources including *The Globe and Mail*, *CBC*, *Financial Post*, *The Tyee*, *Director Journal*, and *Business in Vancouver*.

Chief Ken Cameron, Sauteau First Nations, Moberly Lake

Chief Ken Cameron was born and raised at Moberly Lake in the Peace River / Treaty 8 Region of Northeast BC. He is a fluent Cree speaker and has a deep knowledge of Sauteau culture, the natural landscape, and business and community relations, including the relationships between indigenous peoples and the upstream natural gas, metallurgical coal, forestry, and wind and hydroelectric sectors. As a young man, Ken lived through the construction of the WAC Bennett Dam and experienced the impacts of that project on his community. Later, he was part of the construction team that built the Peace Canyon Dam. Ken has also worked as a hunting guide and horse wrangler, a commercial fisherman, a professional musician, and he is an award-winning artist and carver. For most of his professional life he and his wife Jeanette were the owner-operators of Deep Basin Energy Services - a successful pipeline construction company working in BC and Alberta with over 100 indigenous and non-indigenous employees. Ken retired from business and was elected Chief in April 2017. He has been leading a number of important new initiatives in the business, environmental, regulatory and community sectors, including the financing and construction of a community-owned 30MW windfarm project. In these and other ways Cameron is committed to improving the lives of the people in his community and in neighboring communities.

James Hickling, Donovan & Company, Vancouver



James Hickling is a lawyer and negotiator for First Nations in British Columbia. He works on a variety of topics and issues in the energy sector, and at all stages of project development, from environmental assessments to permitting and project finance, and from pre-construction to decommissioning and reclamation. James also helps First Nations work with the federal and provincial governments on the development of new policies, programs, and regulatory mechanisms related to electrification and clean energy.

Tabatha Bull, President and CEO, Canadian Council for Aboriginal Business, Toronto



Tabatha is Anishinaabe and a proud member of Nipissing First Nation near North Bay, Ontario. She is currently the President & CEO of Canadian Council for Aboriginal Business working with Aboriginal and non-Aboriginal businesses to help strengthen a prosperous Indigenous economy and Canadian market. Also, a member of the Ontario Chamber of Commerce board, Tabatha is committed to working with members to improve business competitiveness across all industry sectors. Prior to her current role as CEO, Tabatha joined CCAB in the fall of 2018 as Chief Operating Officer. Tabatha, an electrical engineer from the University of Waterloo, led the First Nations and Métis Relations team at the IESO, Ontario's electricity system operator. Supporting and advising Canada's energy sector, academics and organizations to ensure a collaborative approach with Indigenous leaders, communities, heads of industry and government, Tabatha sits as a member on both the Positive Energy Advisory Council and the C.D. Howe Institute's Energy Policy program.

As a testament to her passion to better the lives of Indigenous people and stay connected to her community, Tabatha serves as a director on the board of Wigwamen Housing Inc.; the oldest and largest urban Indigenous housing provider in Ontario.

Tabatha is also an active member on the board of Young Peoples Theatre in Toronto and the Canadian advisory group to UN Women "promoting women's economic empowerment through responsible business in G7 countries".

Tabatha is the proud mom to two boys and can often be found in a hockey arena or at the baseball diamond.

10:10 am Session CARBON-INTENSIVE ACTORS & THE POTENTIAL FOR DIVERSE MULTI-ACTOR ALLIANCES

Professor Cynthia Williams, Osgoode Hall Law School, Toronto



Cynthia Williams (Osler Chair in Business Law, Osgoode Hall Law School, York University, Toronto, Canada, and Professor of U.S. Corporate and Securities Law, the Vrije Universiteit, Amsterdam)

Professor Cynthia Williams joined Osgoode Hall Law School on July 1, 2013 as the Osler Chair in Business Law, a position she also held from 2007 to 2009; and was appointed to a part-time position as Professor of U.S. Corporate Law and Securities at the Vrije Universiteit (VU), Amsterdam in April of 2017. Before coming to Osgoode, she was a member of the faculty at the University of Illinois College of Law and, prior to that, she practised law at Cravath, Swaine & Moore in New York City. She writes in the areas of securities law, corporate law, corporate responsibility, comparative corporate governance, and regulatory theory, often in interdisciplinary collaborations with professors in anthropology, economic sociology, and organizational psychology. Her work has been published in the Georgetown Law Journal, the Harvard Law Review, the Journal of Corporation Law, Theoretical Inquiries in Law, the University of New South Wales Law Journal, the Virginia Law Review, the Academy of Management Review, the Corporate Governance International Review, and the Journal of Organizational Behavior, among others. Professor Williams also engages in policy work through her board membership in the Climate Bonds Initiative, a London-based NGO creating a new asset class, Climate Bonds, to finance the transition to a low-carbon economy; the Commonwealth Climate and Law Initiative, part of the Oxford Sustainable Finance Programme, which is evaluating directors' and trustees' legal obligations to consider climate change risk in companies' strategies and securities disclosure; and was the principal author of a petition submitted to the U.S. Securities and Exchange Commission in October, 2018, asking the SEC to engage in rule-making to require greater sustainability (environmental, social, and governance) disclosure.

Arlene Strom, Chief Legal Officer and General Counsel, Suncor, Calgary



Arlene Strom has been with Suncor Energy for 16 years. Since January 2019, Arlene has been in the role of Chief Legal Officer & General Counsel for Suncor. Prior to taking on this role, Arlene led various portfolios including Sustainability, and Indigenous and Government Relations. Arlene is the chair for the Suncor Energy Foundation and also Suncor's Inclusion & Diversity Council.

Arlene chairs the board of the Alberta Lieutenant Governor's Arts Awards Foundation and is a member of the University of Calgary Management Advisory Council for the Haskayne School of Business.

Arlene has a Bachelor of Arts in Business Administration from Trinity Western University, a Master of Arts in Public Policy from University of British Columbia, and an LLB from University of British Columbia.

Christie Stephenson, Executive Director, Peter P. Dhillon Centre for Business Ethics at Sauder School of Business, Vancouver



Christie Stephenson joined UBC Sauder School of Business in 2016 as the inaugural Executive Director of the Peter P. Dhillon Centre for Business Ethics. She previously spent more than 15 years at socially responsible and impact investing firms Sustainalytics (then Jantzi Research Associates), NEI Investments (Ethical Funds) and Purpose Capital (now Rally Assets).

At UBC Sauder, she drives the Centre's work facilitating the creation and dissemination of values-based research and educating current and future business leaders on ethics, sustainability, and social responsibility. Externally, she has provided training on governance, responsible investing, and business ethics to accountants, pension trustees, board directors, and investment and governance professionals, and lectures on social finance to undergraduate and graduate students.

She currently serves on the boards of Canadian Business for Social Responsibility and the UBC Staff Pension Plan (\$1.8 bil AUM), as well as the governance committee at BlueShore Financial and faculty of Directors College.

Christie has more than two decades of diverse board experience, having served as a director of a charity, several cooperatives, numerous non-profits, two university senates, and several private companies ranging from startup phase to \$100 million in annual revenue.

She has spoken to dozens of industry and professional groups including the Institute of Corporate Directors; Chartered Financial Analysts Society; Canadian Association for Corporate Growth; Canadian Institute of Mining, Metals and Petroleum; Conference Board of Canada; International Corporate Governance Network; and the Governance Professionals of Canada.

She is a regular media commentator, whose work and views have been covered by print and radio media including the Globe and Mail, CBC, Report on Business Magazine, Business in Vancouver, Le Devoir, La Presse, and Radio Canada, among others.

Christie has an undergraduate degree from University of Victoria and a graduate degree from McGill University, studied Chinese in Beijing, and speaks both Canada's official languages.

Kevin Thomas, CEO of the Shareholder Association for Research and Education, Toronto



Kevin Thomas is the Chief Executive Officer of the Shareholder Association for Research & Education (SHARE). For 20 years SHARE has been a Canadian leader in responsible investment services, providing shareholder engagement, proxy voting, research and education, and policy advocacy to a growing network of Canadian pension plans and other institutional investors with more than \$23 billion in assets under management. He holds an LLM in international business law. www.share.ca